### DOCKET FILE COPY ORIGINAL **FX PARTE OR LATE FILED**

October 18, 1993

OCT 11 8 1993

## FEDERAL COMMUNICATIONS COMMISSION OFFICE OF THE SECRETARY

#### DELIVERY BY HAND

Renee Licht, Esquire Acting General Counsel Federal Communications Commission 1919 M Street, N.W. Washington, D.C. 20554

> Re: Letter of October 14, 1993 Regarding Alleged Ex Parte Presentations in CC Docket No. 92-28

Dear Ms. Licht:

Loral Qualcomm Satellite Services, Inc. ("LQSS") and Motorola Satellite Communications, Inc. ("Motorola") hereby respond to the unfortunate and incorrect assertions made by TRW Inc., Constellation Communications, Inc. and Ellipsat Corporation in their letter referred to above regarding purported violations of the Commission's ex parte rules. The parties raising these matters have misinterpreted the Commission's ex parte rules and do not appear to understand the nature of the contacts made by Motorola and LQSS with the Commission staff. Accordingly, both the arguments and conclusions in that letter are incorrect.

Simply put, the contacts discussed in the letter were made in what are, at most, non-restricted proceedings, and written disclosures of those contacts were filed in accordance with the Commission's Rules. Moreover, no new facts or arguments concerning the signatories' applications were discussed, as they allege might have happened; the contacts only addressed the issues raised in the Joint Comments of LQSS and Motorola filed October 7, 1993. Finally, there is not one shred of factual evidence of prejudice to the three signatories in their letter which would justify issuance of an order to show cause, setting of a hearing, or disqualification of LQSS or Motorola from these proceedings, as the signatories request. Therefore, for the reasons discussed below, the Commission should dismiss their complaint forthwith as failing to raise any matter of concern.

Motorola and LQSS did not violate the Commission's Rules by explaining to the Commission staff the essential elements of their Joint Comments filed on October 7, 1993, in two related <u>rulemaking</u> proceedings. In those Joint Comments, LQSS and Motorola described an agreed-upon plan for sharing the RDSS/MSS spectrum with the current group of non-geostationary Mobile-Satellite Service ("MSS") applicants, including the three signatories to the letter. Because LQSS and Motorola view their

> No. of Copies rec'd List ABCDE

agreement as a watershed event in these proceedings  $-\frac{i.e.}{i.e.}$ , leading proponents of two competing multiple access technologies (FDMA/TDMA and CDMA spread spectrum) have, for the first time, agreed upon an approach to sharing spectrum -- we believed it important that the Commission staff fully understand the sharing plan.

As the parties now complaining about Motorola's and LQSS' contacts with Commission staff have conceded, the two rulemaking proceedings in which the Joint Comments were filed could, at most, be construed as non-restricted proceedings under the Commission's ex parte rules. Therefore, oral and written ex parte presentations are permitted so long as the fact of such contacts are disclosed. Although, in the absence of a Notice of Proposed Rule Making in CC Docket No. 92-166, the ex parte rules could fairly be read not to apply to RDSS/MSS service and licensing rules, nonetheless, LQSS and Motorola filed disclosure statements for this proceeding as well. 3/

See 47 C.F.R. § 1.1206(b)(1). The Commission specifically identified ET Docket No. 92-28 as a non-restricted proceeding. See Amendment of Section 2.106 of the Commission's Rules to Allocate the 1610-1626.5 MHz and the 2483.5-2500 MHz Bands for Use by the Mobile-Satellite Services, including Non-Geostationary Satellites, 7 FCC Rcd. 6414, 6422, ¶ 54 (1992). While the decisions on the various applicants' pioneer's preference requests were deemed restricted, id. at 6422, ¶ 55, there was no discussion of these requests in the Joint Comments or during the contacts with Commission staff. The Commission did not include an ex parte notice in the Notices released regarding CC Docket No. 92-166. See Establishment of an Advisory Committee to Negotiate Proposed Regulations, 7 FCC Rcd. 5241 (1992), and 7 FCC Rcd. 8614 (1992).

In accordance with Section 1.1206 of the Commission's Rules, LQSS and Motorola filed disclosure statements in both ET Docket No. 92-28 and CC Docket No. 92-166. Copies of these statements are enclosed with this letter.

Since the <u>ex parte</u> rules only define an informal rulemaking proceeding as non-restricted upon adoption of a notice of proposed rulemaking (<u>see</u> 47 C.F.R. § 1.1208(b)(1)), and since the Commission has not adopted, as of this date, a Notice of Proposed Rulemaking in CC Docket No. 92-166, the <u>ex parte</u> rules do not apply as to any communications relating to proposed service and licensing rules for MSS systems. The current status of this (continued...)

Having mistaken the facts and misread the rules, these signatories can only claim that the contacts with staff were wrong because, in the signatories' view, some of the issues raised in the Joint Comments are "inextricably related" to the merits of the pending "restricted" application proceedings, and thereby are prohibited under the ex parte rules. To the contrary, the Joint Comments of Motorola and LQSS are directed solely to the licensing and service rules that the Commission has indicated are essential before any decisions are made on the pending MSS applications. 4/ In fact, the Joint Comments address the proposals and recommendations included in the "Report of the MSS Above 1 GHz Negotiated Rulemaking Committee" (April 6, 1993) in CC Docket No. 92-166. At no time in meetings with Commission staff did either Motorola or LQSS direct any arguments or communications to "the merits or outcome" of the pending MSS applications. See 47 C.F.R. § 1.1202(a). It should be noted that ex parte presentations have been specifically authorized in analogous proceedings in the satellite area when the Commission has simultaneously processed applications and considered the adoption of service and licensing rules in order to expedite service to the public. <u>See, e.g.</u>, <u>Amendment of Parts 2, 22 and 25 of the Commission's Rules to Allocate Spectrum for and to</u> Establish Other Rules and Policies Pertaining to the Mobile Satellite Service for the Provision of Various Common Carrier Services, Tentative Decision, 6 F.C.C. Rcd. 4900 (1991), Notice of Proposed Rulemaking, 50 Fed. Reg. 8149 (1985); Amendment of the Commission's Rules to Allocate Spectrum for, and to Establish other Rules and Policies Pertaining to, a Radiodetermination Satellite Service, Notice of Proposed Rulemaking, 49 Fed. Reg. 36512 (1984); Establishment of Separate Systems Providing

<sup>(...</sup>continued)
rulemaking proceeding is analogous to those instances where there is a pending petition for rulemaking or where the Commission has adopted a notice of inquiry. See 47 C.F.R. § 1.1204(a)(2) & (4).

The Commission has repeated noted that it applies the exception for "competing claims to a valuable privilege" primarily to rulemaking proceedings involving changes to the FM and TV Tables of Allotments. See Amendment of Ex Parte Rules, 2 FCC Rcd. 3011, 3031 n. 30; Policies and Procedures Regarding Ex Parte Communications, 47 RR 2d 1213, 1221 (1980); see also 47 C.F.R. § 1.1208(c)(2) (noting that a rulemaking involving FM and TV Tables of Allotments is a restricted proceeding and citing Sangamon Valley Television Corp. v. United States, 269 F.2d 221 (D.C. Cir. 1969)).

<u>International Communications</u>, <u>Notice of Proposed Rulemaking</u>, 50 Fed. Reg. 1570 (1985).

The three signatories have cited to no facts or law to suggest that they have suffered any harm by the referenced contacts, relying instead on rhetoric in their claim that these proceedings have been somehow tainted. See Centel Corp., FCC 93-397, at 2-3 (released Aug. 24, 1993) (denying application for review based on alleged violations of ex parte rules where complainant failed to demonstrate prejudice). Indeed, all three signatories of the October 14, 1993 letter had previously seen drafts of the Joint Comments before they were filed (in which their participation had been invited), and were informed that the spectrum sharing plan described therein would be explained further to the Commission. Thus, the complaining signatories cannot now claim that they were unaware of our intentions. Therefore, the three signatories were not denied the notice which the ex parte rules are designed to address. See 47 C.F.R. § 1.1200(a) (ex parte rules are designed to "ensure that the Commission's decisional processes are fair, impartial, and otherwise comport with the concept of due process"); cf. Redwood Microwave Assoc., Inc., 38 RR 2d 1073, 1081 (1976) (complainant failed to establish that ex parte rules applied or that contacts resulted in denial of due process or an unfair consideration of the merits of the subject applications). There is then simply no basis for instituting a hearing or show cause proceeding. 5/

We trust that this letter clarifies the matters raised by the signatories to the October 14, 1993 letter, and puts to rest any question as to the propriety of the joint presentations made by Motorola and LQSS to the Commission staff. We do not believe that it would be in the public interest to bar ex parte contacts in the above-referenced rulemaking proceedings as urged by the other applicants. The Commission staff should be allowed to benefit from such presentations as it deliberates on the service and licensing rules relating to the provision of MSS in the relevant frequency bands. Indeed, the Commission has

In a previous filing, Motorola noted that LQSS had met with Commission staff to discuss its views of the negotiated rulemaking. However, Motorola did not then, and does not now claim that LQSS had somehow violated the <u>ex parte</u> rules as a result of that meeting. As is clear from a reading of Motorola's earlier pleading, Motorola was merely placing in context its own filing which expressed its views on the results of the negotiated rulemaking proceeding.

recognized that its <u>ex parte</u> rules, which permit contacts with staff in non-restricted proceedings, "are designed to allow the Commission sufficient flexibility to obtain all the information and evidence necessary for reasoned decision-making." <u>Amendment of Ex Parte Rules</u>, 2 FCC Rcd. at 3012. The task at hand is to get RDSS/MSS services to the public promptly. To this end, LQSS and Motorola continue to be ready to work with the other applicants and with the Commission staff. 6/

Respectfully submitted,

MOTOROLA SATELLITE COMMUNICATIONS, INC.

Philip L. Malet
Alfred Mamlet
Steptoe & Johnson
1330 Connecticut Ave., N.W.
Washington, D.C. 20036
(202) 429-6239

LORAL QUALCOMM SATELLITE SERVICES, INC.

Dale Galkimore

Counsel

Loral Qualcomm Satellite Services, Inc.

7375 Executive Place

Suite 101

Seabrook, MD 20706

(301) 805-0373

#### Enclosures

Should the circumstances indicate that it would be appropriate or should you request it, LQSS and Motorola will provide a memorandum of additional points and authorities on the appropriateness of their contacts with the Commission staff. In the interest of providing a very prompt response to the letter of October 14, 1993, this letter has been furnished for your consideration.

# Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554



In the Matters of:

Amendment of the Commission's Rules to Establish Rules and Policies Pertaining to Mobile-Satellite Service and Radio Determination Satellite Service in the 1610-1626.5 MHz and 2483.5-2500 MHz Bands; and

Amendment of Section 2.106 of the Commission's Rules to Allocate the 1610-1626.5 MHz and the 2483.5-2500 MHz Bands for Use by the Mobile-Satellite Service, Including Non-Geostationary Satellites. CC Docket No. 92-166

ET Docket No. 92-28

#### EX PARTE PRESENTATIONS

Pursuant to Section 1.1206 of the Commission's rules and regulations, Motorola Satellite Communications, Inc. ("Motorola") and Loral Qualcomm Satellite Services, Inc. ("LQSS") hereby report that ex parte presentations were jointly made by representatives of Motorola and LQSS on October 8 & 12, 1993, to the persons identified on the attached list. The subject matter and materials discussed during these presentations related to the Joint Comments filed by the parties with the Commission in the above-captioned proceedings on October 7, 1993.

Copies of this <u>ex parte</u> notice are being sent to the persons identified on the attached list.

Respectfully submitted,

MOTOROLA SATELLITE COMMUNICATIONS, INC.

Philip L. Malet
Alfred Mamlet
Pantelis Michalopoulos
Steptoe & Johnson
1330 Connecticut Ave., N.W.
Washington, D.C. 20036
(202) 429-6239

James G. Ennis
Barry Lambergman
Fletcher Heald & Hildreth
1300 North 17th Street
11th Floor
Rosslyn, VA 22209
(703) 812-0400

Michael D. Kennedy Director, Regulatory Relations Motorola Inc. 1350 I Street, N.W. Suite 400 Washington, D.C. 20005 (202) 371-6900

LORAL QUALCOMM SATELLITE SERVICES, INC.

(202) 223-1720

Kevin J. Kelley
Vice President External Affairs
Qualcomm, Inc.
1233 20th Street, N.W.
Suite 202
Washington, D.C. 20036

Dale Gallimore
Counsel
Loral Qualcomm Satellite
Services, Inc.
7375 Executive Place
Suite 101
Seabrook, MD 20706
(301) 805-0373

Dated: October 8, 1993

### <u>List of Persons Attending Presentations</u>

| Brian Fontes<br>Chairman Quello's Office  | 2:00 | pm | (Friday)  |
|---|------|----|-----------|
| Byron Marchant<br>Commissioner Barrett's Office                                 | 2:30 | pm |           |
| Thomas P. Stanley (and staff)<br>Chief Engineer                                 | 3:15 | pm |           |
| James R. Keegan<br>Chief, Domestic Facilities Division<br>Common Carrier Bureau | 9:30 | am |           |
| Cecily C. Holiday<br>Chief, Satellite Radio Branch<br>Common Carrier Bureau     | 9:30 | am |           |
| Fern J. Jarmulnek<br>Satellite Radio Branch<br>Common Carrier Bureau            | 9:30 | am |           |
| Randy Coleman<br>Commissioner Duggan's Office                                   | 2:00 | pm | (Tuesday) |

# Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

In the Matters of:

Amendment of the Commission's Rules to Establish Rules and Policies Pertaining to Mobile-Satellite Service and Radio Determination Satellite Service in the 1610-1626.5 MHz and 2483.5-2500 MHz Bands; and

Amendment of Section 2.106 of the Commission's Rules to Allocate the 1610-1626.5 MHz and the 2483.5-2500 MHz Bands for Use by the Mobile-Satellite Service, Including Non-Geostationary Satellites. CC Docket No. 92-166

ET Docket No. 92-28

RECEIVED

OCT 15 1993

FEDERAL COMMUNICATIONS COMMISSION OFFICE OF THE SECRETARY

#### EX PARTE PRESENTATIONS

Pursuant to Section 1.1206 of the Commission's rules and regulations, Motorola Satellite Communications, Inc. ("Motorola") and Loral Qualcomm Satellite Services, Inc. ("LQSS") hereby report that a presentation was jointly made by representatives of Motorola and LQSS on October 15, 1993, to Jonathan Cohen in Chairman Quello's office. The subject matter and materials discussed during these presentations related to the Joint Comments filed by the parties with the Commission in the above-captioned proceedings on October 7, 1993.

Copies of this notice are being sent Mr. Cohen.

Respectfully submitted,

MOTOROLA SATELLITE COMMUNICATIONS, INC.

LORAL QUALCOMM SATELLITE SERVICES, INC.

Philip L. Malet
Alfred Mamlet
Pantelis Michalopoulos
Steptoe & Johnson
1330 Connecticut Ave., N.W.
Washington, D.C. 20036
(202) 429-6239

James G. Ennis
Barry Lambergman
Fletcher Heald & Hildreth
1300 North 17th Street
11th Floor
Rosslyn, VA 22209
(703) 812-0400

Michael D. Kennedy Director, Regulatory Relations Motorola Inc. 1350 I Street, N.W. Suite 400 Washington, D.C. 20005 (202) 371-6900 Kevin J. Kelley
Vice President External Affairs
Qualcomm, Inc.
1233 20th Street, N.W.
Suite 202
Washington, D.C. 20036
(202) 223-1720

Dale Gallimore
Counsel
Loral Qualcomm Satellite
Services, Inc.
7375 Executive Place
Suite 101
Seabrook, MD 20706
(301) 805-0373

Dated: October 8, 1993

#### CERTIFICATE OF SERVICE

I, Philip L. Malet, hereby certify that the foregoing Letter was served by first-class mail, postage prepaid, this the day of October, 1993 on the following persons:

- \* Chairman James H. Quello Federal Communications Commission 1919 M Street, N.W. Washington, D.C. 20554
- \* Commissioner Andrew C. Barrett Federal Communications Commission 1919 M Street, N.W. Washington, D.C. 10554
- \* Commissioner Ervin S. Duggan Federal Communications Commission 1919 M Street, N.W. Washington, D.C. 20554
- \* Thomas P. Stanley
  Chief Engineer
  Federal Communications Commission
  2025 M Street, N.W.
  Room 7002
  Washington, D.C. 20554
- \* Raymond LaForge Federal Communications Commission Room 7334 2025 M Street, N.W. Washington, D.C. 20554
- \* Kathleen B. Levitz
  Acting Chief, Common Carrier Bureau
  Federal Communications Commission
  Room 500
  1919 M Street, N.W.
  Washington, D.C. 20554
- \* Gerald P. Vaughan
  Deputy Bureau Chief (Operations)
  Federal Communications Commission
  Room 500
  1919 M Street, N.W.
  Washington, D.C. 20554

- \* Wendell R. Harris
  Assistant Bureau Chief
  Common Carrier Bureau
  Federal Communications Commission
  Room 534
  1919 M Street, N.W.
  Washington, D.C. 20554
- \* James R. Keegan Chief, Domestic Facilities Division Common Carrier Bureau Federal Communications Commission 2025 M Street, NW, Room 6010 Washington, DC 20554
- \* Thomas Tycz
  Deputy Chief
  Domestic Facilities Division
  Common Carrier Bureau
  Federal Communications Commission
  Room 6010
  2025 M Street, N.W.
  Washington, D.C. 20554
- \* Cecily C. Holiday
  Chief, Satellite Radio Branch
  Federal Communications Commission
  Room 6324
  2025 M Street, N.W.
  Washington, D.C. 20554
- \* Fern J. Jarmulnek
  Satellite Radio Branch
  Federal Communications Commission
  2025 M Street, NW, Room 6324
  Washington, DC 20554
- \* James Ball
  Associate Director
  Office of International Communications
  Federal Communications Commission
  Room 658
  1919 M Street, N.W.
  Washington, D.C. 20554

Mr. Richard C. Beaird
Senior Deputy U.S. Coordinator
and Director
Bureau of International Communications
and Information Policy
Room 6313
Department of State
2201 C Street, NW
Washington, D.C. 20520

Mr. Michael Fitch
Deputy U.S. Coordinator and Director
Bureau of International Communications
and Information Policy
Room 6313
Department of State
2201 C Street, NW
Washington, D.C. 20520

Mr. Lawrence Irving Assistant Secretary for Communications and Information NTIA U.S. Department of Commerce 14th & Constitution Ave., NW Room 4898 Washington, DC 20230

Ms. Jean Prewitt Associate Administrator NTIA/OIA U.S. Department of Commerce 14th & Constitution Ave., NW Room 4720 Washington, DC 20230

Mr. Jack A. Gleason Division Director NTIA/OIA U.S. Department of Commerce 14th & Constitution Ave., NW Room 4701 Washington, DC 20230

Richard D. Parlow Associate Administrator Office of Spectrum Management NTIA U.S. Department of Commerce 14th & Constitution Ave., NW Washington, DC 20230 William Hatch
NTIA, Room 4096
U.S. Department of Commerce
14th & Constitution Ave., NW
Washington, DC 20230

Richard Barth
Director
Office of Radio Frequency Management
National Oceanic and
Atmospheric Administration
U.S. Department of Commerce
Room 3332
Federal Office Bldg. #4
Washington, D.C. 20233

Mr. Craig Moll
Telecommunications Policy Specialist
NTIA/OIA
U.S. Department of Commerce
14th & Constitution Ave., NW
Room 4701
Washington, DC 20230

Warren Y. Zeger General Counsel COMSAT Corp. 6560 Rock Spring Dr. Bethesda, MD 20817

Cheryl Lynn Schneider, Esquire COMSAT Corp. 6560 Rock Spring Dr. Bethesda, MD 20817

Bruce D. Jacobs, Esquire Glenn S. Richards, Esquire Fisher, Wayland, Cooper & Leader 1255 23rd Street, N.W. Suite 800 Washington, D.C. 20037 (Counsel for AMSC)

Lon C. Levin Vice President American Mobile Satellite Corp. 10802 Parkridge Blvd. Reston, VA 22091 Robert A. Mazer, Esquire Albert Shuldiner, Esquire Nixon, Hargrave, Devans & Doyle One Thomas Circle, NW, Suite 800 Washington, DC 20005 (Counsel for Constellation)

Norman R. Leventhal, Esquire Raul R. Rodriguez, Esquire Stephen D. Baruch, Esquire Leventhal, Senter & Lerman 2000 K Street, N.W. Suite 600 Washington, D.C. 20006-1809 (Counsel for TRW, Inc.)

Jill Stern, Esquire
Shaw, Pittman, Potts & Trowbridge
2300 N Street, N.W.
Second Floor
Washington, D.C. 20037
(Counsel for Ellipsat)

Gerald Hellman
Vice President, Policy
& International Programs
Mobile Communications Holdings, Inc.
1120 19th Street, N.W.
Washington, D.C. 20036

Victor J. Toth, P.C. Law Offices 2719 Soapstone Drive Reston, VA 22091 (Counsel for Celsat, Inc.)

Dr. Robert L. Riemer Committee on Radio Frequencies HA-562 National Research Council 2101 Constitution Ave., N.W. Washington, D.C. 20418

Richard G. Gould Telecommunications Systems 1629 K Street, N.W. Suite 600 Washington, D.C. 20006 John L. Bartlett Wiley, Rein & Fielding 1776 K Street, N.W. Washington, D.C. 20006 (Counsel for ARINC/ATA)

Gary M. Epstein
James F. Rogers
Kevin C. Boyle
Latham & Watkins
1001 Pennsylvania Avenue, N.W.
Suite 1300
Washington, D.C. 20004
(Counsel for Hughes Aircraft)

Edward R. Adelson Vice President Industry Activities Aeronautical Radio, Inc. 2551 Riva Road Annapolis, MD 21401-7465

Abdul Tahir Director, GPS Deveoplment Litton Systems, Inc. 6101 Condor Drive Moorpark, CA 93021

Linda C. Sadler Manager, Government Affairs Rockwell International Corp. 1745 Jefferson Davis Highway Arlington, VA 22202

Guy M. Gooch Director, Systems Engineering Mobile Communications Satellite Systems Rockwell International Corp. 400 Collins Road, NE Cedar Rapids, IA 52498

Paul J. Sinderbrand, Esquire
Dawn G. Alexander, Esquire
Sinderbrand & Alexander
888 16th Street, N.W.
Suite 610
Washington, DC 20006-4103
(Counsel for Wireless Cable
Association International, Inc.)

Robert A. Frazier
Spectrum Engineering & Planning
Division - ASM-500
Federal Aviation Administration
800 Independence Avenue, S.W.
Washington, D.C. 20591

B. E. Morriss Deputy Manager National Communications System Washington, D.C. 20305-2010

R. A. Davis Vice President, Engineering Boeing Commercial Airplane Group P.O. Box 3703, MS GR-UT Seattle, WA 98124-2207

David Struba NASA Headquarters Code OI Washington, D.C. 20546

William K. Keane, Esq. Winston & Strawn 1400 L Street, N.W. Washington, D.C. 20005 (Counsel for AFTRCC)

Jeffrey L. Sheldon General Counsel Utilities Telecommunications Council 1140 Connecticut Ave., N.W., Suite 1140 Washington, D.C. 20036

Arun Bhumitra
Vice President
ARJAY Telecommunications
20669 Hawthorne Blvd.
Torrance, CA 90503

M. Worstell Vice President, Contracts Litton Aero Products 6101 Condor Drive Moorpark, CA 93021

J. Ellis McSparran 3S Navigation 23141 Plaza Pointe Drive Laguna Hills, CA 92653 Thomas Trimmer U.S. Army Hoffman II Room 9S65 200 Stovall Street Alexandria, VA 22332

E. William Henry
Henry M. Rivera
Ginsburg, Feldman and Bress
Chartered
1250 Connecticut Ave., N.W.
Washington, D.C. 20036
(Counsel for RDSS Inc.)

Bruce L. Bucklin
Acting Chief
Technical Operations Section
Drug Enforcement Administration
U.S. Department of Justice
Washington, D.C. 20537

Terri B. Natoli Regulatory and Industry Relations Manager GTE Spacenet 1700 Old Meadow Road McLean, VA 22102

Tedson J. Myers Reid & Priest 701 Pennsylvania Avenue, N.W. Washington, D.C. 20004 (Counsel for AfriSpace, Inc.)

Philip L. Malet

\* Delivery by hand.